

UNITED STATES DISTRICT COURT

for the

Northern District of Georgia

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff

v.

TANNER S. ADAM, JONATHAN L. ADAM, TRITEN
FINANCIAL GROUP, LLC, and GCZ GLOBAL LLC,*Defendant*

Civil Action No. 1:24-cv-03774-MHC

SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS
OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION

To:

Coinbase, Inc., c/o CSC

(Name of person to whom this subpoena is directed)

☒ **Production:** **YOU ARE COMMANDED** to produce at the time, date, and place set forth below the following documents, electronically stored information, or objects, and to permit inspection, copying, testing, or sampling of the material: See Exhibit A

Place: Kristin W. Murnahan US Securities & Exchange Commission, 950 East Paces Ferry Road, N.E., Suite 900, Atlanta, GA 30326	Date and Time: 11/12/2024 9:00 am
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☐ **Inspection of Premises:** **YOU ARE COMMANDED** to permit entry onto the designated premises, land, or other property possessed or controlled by you at the time, date, and location set forth below, so that the requesting party may inspect, measure, survey, photograph, test, or sample the property or any designated object or operation on it.

Place:	Date and Time:
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The following provisions of Fed. R. Civ. P. 45 are attached – Rule 45(c), relating to the place of compliance; Rule 45(d), relating to your protection as a person subject to a subpoena; and Rule 45(e) and (g), relating to your duty to respond to this subpoena and the potential consequences of not doing so.

Date: 10/23/2024

CLERK OF COURT

OR

*Signature of Clerk or Deputy Clerk**Kristin W. Murnahan**Attorney's signature*The name, address, e-mail address, and telephone number of the attorney representing *(name of party)*

U.S. Securities and Exchange Commission, who issues or requests this subpoena, are:

Kristin W. Murnahan, 950 E. Paces Ferry Rd., N.E., Ste. 900, Atlanta, Ga. 30326, murnahank@sec.gov, (404) 842-7655

Notice to the person who issues or requests this subpoena

If this subpoena commands the production of documents, electronically stored information, or tangible things or the inspection of premises before trial, a notice and a copy of the subpoena must be served on each party in this case before it is served on the person to whom it is directed. Fed. R. Civ. P. 45(a)(4).

Civil Action No. 1:24-cv-03774-MHC

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)

I received this subpoena for *(name of individual and title, if any)* _____
on *(date)* _____.

☐ I served the subpoena by delivering a copy to the named person as follows: _____

_____ on *(date)* _____; or

☐ I returned the subpoena unexecuted because: _____

Unless the subpoena was issued on behalf of the United States, or one of its officers or agents, I have also
tendered to the witness the fees for one day's attendance, and the mileage allowed by law, in the amount of
\$ _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc.:

Federal Rule of Civil Procedure 45 (c), (d), (e), and (g) (Effective 12/1/13)**(c) Place of Compliance.**

(1) For a Trial, Hearing, or Deposition. A subpoena may command a person to attend a trial, hearing, or deposition only as follows:

- (A) within 100 miles of where the person resides, is employed, or regularly transacts business in person; or
- (B) within the state where the person resides, is employed, or regularly transacts business in person, if the person
 - (i) is a party or a party's officer; or
 - (ii) is commanded to attend a trial and would not incur substantial expense.

(2) For Other Discovery. A subpoena may command:

- (A) production of documents, electronically stored information, or tangible things at a place within 100 miles of where the person resides, is employed, or regularly transacts business in person; and
- (B) inspection of premises at the premises to be inspected.

(d) Protecting a Person Subject to a Subpoena; Enforcement.

(1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The court for the district where compliance is required must enforce this duty and impose an appropriate sanction—which may include lost earnings and reasonable attorney's fees—on a party or attorney who fails to comply.

(2) Command to Produce Materials or Permit Inspection.

(A) *Appearance Not Required.* A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.

(B) *Objections.* A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing, or sampling any or all of the materials or to inspecting the premises—or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:

- (i) At any time, on notice to the commanded person, the serving party may move the court for the district where compliance is required for an order compelling production or inspection.
- (ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.

(3) Quashing or Modifying a Subpoena.

(A) *When Required.* On timely motion, the court for the district where compliance is required must quash or modify a subpoena that:

- (i) fails to allow a reasonable time to comply;
- (ii) requires a person to comply beyond the geographical limits specified in Rule 45(c);
- (iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or
- (iv) subjects a person to undue burden.

(B) *When Permitted.* To protect a person subject to or affected by a subpoena, the court for the district where compliance is required may, on motion, quash or modify the subpoena if it requires:

- (i) disclosing a trade secret or other confidential research, development, or commercial information; or

(ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party.

(C) *Specifying Conditions as an Alternative.* In the circumstances described in Rule 45(d)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:

- (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and
- (ii) ensures that the subpoenaed person will be reasonably compensated.

(e) Duties in Responding to a Subpoena.

(1) Producing Documents or Electronically Stored Information. These procedures apply to producing documents or electronically stored information:

(A) *Documents.* A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.

(B) *Form for Producing Electronically Stored Information Not Specified.* If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.

(C) *Electronically Stored Information Produced in Only One Form.* The person responding need not produce the same electronically stored information in more than one form.

(D) *Inaccessible Electronically Stored Information.* The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) Claiming Privilege or Protection.

(A) *Information Withheld.* A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:

- (i) expressly make the claim; and
- (ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.

(B) *Information Produced.* If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information under seal to the court for the district where compliance is required for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(g) Contempt.

The court for the district where compliance is required—and also, after a motion is transferred, the issuing court—may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena or an order related to it.

EXHIBIT A

A. DEFINITIONS & INSTRUCTIONS:

1. The term “**Coinbase**” means Coinbase, Inc. and all of its corporate parents, limited partners, general partners, subsidiaries, divisions, affiliates, predecessors, successors, as well as trade names, or business names used by, or formerly used by, Coinbase.
2. Reference to an **Entity** shall also include that entity’s corporate parents, subsidiaries, affiliates, predecessors, and successors, as well as trade names, or business names used by, or formerly used by the entity.
3. Reference to a **Person** shall also include that person’s trusts, affiliates, employees, agents, partners, and independent contractors, as well as aliases, code names, trade names, or business names used by, or formerly used by, the person.
4. The term “**Document**” means any written, printed, or typed matter including, but not limited to all drafts and copies bearing notations or marks not found in the original, letters and correspondence, interoffice communications, slips, tickets, records, worksheets, financial records, accounting documents, bookkeeping documents, memoranda, reports, manuals, telephone logs, telegrams, facsimiles, messages of any type, telephone messages, voice mails, tape recordings, notices, instructions, minutes, summaries, notes of meetings, file folder markings, and any other organizational indicia, purchase orders, information recorded by photographic process, including microfilm and microfiche, computer printouts, spreadsheets, blockchain identifiers (e.g., hashes, pointers, etc.) to documents stored on a blockchain, and other electronically stored information, including but not limited to writings, drawings, graphs, charts, photographs, sound recordings, images, and other data or data compilations that are stored in any medium from which information can be retrieved, obtained, manipulated, or translated.
5. The term “**Activity**” means any transaction, trade, deposit, withdrawal, swap, internal transfer, bid, ask, offer, purchase, sale, redemption, reward, loan, staking, receipt or payment of fees, or any other event captured, recorded, or generated by Coinbase.
6. The term “**Order**” means any request, instruction, notes, or directive to conduct any Activity.
7. The term “**Communication**” means any correspondence, contact, discussion, e-mail, instant message, or any other kind of oral or written exchange or transmission of information (in the form of facts, ideas, inquiries, or otherwise) and any response thereto between two or more Persons or entities, including, without limitation, all telephone conversations, face-to-face meetings or

conversations, internal or external discussions, or exchanges of a Document or Documents.

8. The term “**Concerning**” means directly or indirectly, in whole or in part, describing, constituting, evidencing, recording, evaluating, substantiating, concerning, referring to, alluding to, in connection with, commenting on, relating to, regarding, discussing, showing, analyzing or reflecting.
9. The following rules of construction apply to this attachment:
 - a. the functional words “**any**” and “**all**” shall be deemed to include the other functional word;
 - b. the connectives “and” and “or” shall be construed either disjunctively or conjunctively as necessary to bring within the scope of the attachment all responses that might otherwise be construed to be outside of its scope;
 - c. the use of the singular form of any word includes the plural and vice versa; and
 - d. the term “including” means including, but not limited to.
10. Please put an identifying notation on each page of each document to indicate that it was produced by your bank, produced in this matter, and the page number of all the documents submitted.
11. The term “**Relevant Period**” shall mean the time period beginning with account inception or account application date, whichever is earlier. Please initially produce the items called for in this subpoena from account inception through the date of this subpoena. Thereafter, please produce the items called for in this subpoena that were obtained or created following the date of this subpoena as requested by Commission staff.

B. DOCUMENTS TO BE PRODUCED

- Documents sufficient to identify all Coinbase accounts associated with the transactions listed below (the “Transactions”), including:

Txn Hash	Sending Address	Receiving Address	Amount	Asset
0x13ddc7229fdd6519a8fb01f99282448f6692209b321f96f01f51173349aa622f	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xfde7bb38269961fb6b417e0e24ed0c542621c4f1	239986.7447	USDC
0xf402e56c957f5cb51723bc6b0af98e5bce1e2e36efa17c3ee996e947d2af4752	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xfde7bb38269961fb6b417e0e24ed0c542621c4f1	222986.5056	USDC
0x45c1cea6969f1cc9a1836305e830ef8a09ea1af286e6b9525dd52812da2d691c	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xfde7bb38269961fb6b417e0e24ed0c542621c4f1	239987.7243	USDC
0x60555edefc3d1a1373a2e1b4bc96e5cd27ec3af67924773cd128fefde025d177	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xfde7bb38269961fb6b417e0e24ed0c542621c4f1	239988.3543	USDC
0xfe5759a32d404d58915bb037a19c30cdf635b608a0b0f3ea8bb9e77841f34e70	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xfde7bb38269961fb6b417e0e24ed0c542621c4f1	479978.9149	USDC
0x8cf71f6307d091163a2ba389ad4be28452b8addfb5ebaf854658a54c63b6630c	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xfde7bb38269961fb6b417e0e24ed0c542621c4f1	239987.0837	USDC
0x812c636ee05885ec522f6cb535c8b8357a9b74a1eb30676fc532ef95f689297d	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xfde7bb38269961fb6b417e0e24ed0c542621c4f1	239988.8427	USDC

0xb32ee70c503da 2b4804d39eab7a b57232c4dd697fd c2557ea48453396 c630627	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0xfde7bb3826996 1fb6b417e0e24ed 0c542621c4f1	239989.2542	USDC
0x3dd9c0c554490 216172f42297afc d7d24f2f23fd3e9f 5eccb154ff1f71c2 44c0	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0xfde7bb3826996 1fb6b417e0e24ed 0c542621c4f1	239989.5062	USDC
0xd058fec375b68 43535026b954d7 25a805f0ff49517a ab4bbdf0604a5b5 56c4b6	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0xfde7bb3826996 1fb6b417e0e24ed 0c542621c4f1	242041.5825	USDC
0xa0100cf5aa815 53e2b87ded1ec6 1cc4aef98730547f 75cd0d5b4cb00a7 6dbed2	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	0x5c6c46a02a942 fb5d31852b8f8d5 a7c0d5b18acf	33,495.35	USDT
0xd29b151e4a9f4 180a8a15e50e68 9bcb76c62ddc7ee 0ad33c1af0b170c a1461f4	0xfde7bb3826996 1fb6b417e0e24ed 0c542621c4f1	0xfab0415a2b6f5 a118aef433cb51d 62a1d01c076d	610,347.18	USDC
0xce531aafa0757 8d9118bf508471f 180a0f8a86eba5a 6252fddaa3a5067 80d6dc	0xfde7bb3826996 1fb6b417e0e24ed 0c542621c4f1	0x265d689dc138b f74c30b498534dd dd51beaace73	250,000	USDC
0x493218973281 b33a77c46fbe669 87b8061f8545ece b880310f1781d4e 98b983b	0x72d4ff80bc4dfa b5184c702ac5f21 7def992b283	0x3fd6f0cec26a53 aba0c7358abb627 77fa82cde99	260	USDT
0xee929ccf0dcd0 8ea1a8e441acb5c a1a950f4616b3e4 bed6cf71ecd7334 7b048e	0x72d4ff80bc4dfa b5184c702ac5f21 7def992b283	0xe93d21ff631fea ee2e53b9126258 345a9e243025	0.041096081	ETH

Nu4p4d99YociWd t6iK9VYzEthNFsG 9ceW6y6XiZJB5X6 KYQKovy6XQ2Kh NwErcXorABdNbZ 6qY3mjbHwGeip wox	p9amKcZseMWw PtodqN2YuX6LUD rKh2YyhEeJXZa1s YV	5Ln7KtXd9VnTNrv y4h9WbrbmYZjZ mxhMjUoAEtQD9 2x	10000	USDC
2nBcFEiovgWbs1i bW6VyLzHMTbZe hcP3M2mnY8wu Uj9VgtqNR3Fr7r uDguaqdaWXP5 4UkhnvT8eDT1nL syk9F3	p9amKcZseMWw PtodqN2YuX6LUD rKh2YyhEeJXZa1s YV	5Ln7KtXd9VnTNrv y4h9WbrbmYZjZ mxhMjUoAEtQD9 2x	2000	USDC
0xf9cfd852eed6 ada3dce1d080ee0 2322fa5594d07e2 078acb9cb013896 93111	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	100008.9477	USDT
0x56b51663bfbfaf fa0b0a4bfafd03d9 1b272485ddc0fe4 42d361a00e5948 9d233	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	93.909979	USDT
0xdc491bc4b2f42 02ad560669ff6bb 1455279bd2d395 70c8d2e5fbab2ab 676f8b2	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	4265.019129	LINK
0x68e7d4dc3f0f9 729cbfd97e1249e f2fa54c6a301489 98e3528759e3a7 defb583	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	2.33050738	ETH
0x37a3209c0c85b 1e1fe3324b54eed 05931f7e1e1d9da d031c5a52185a98 ec854f	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	510.3460415	LINK
0x289126104e26 5fb08a93f4fe5520 1d83ea868045d6 2f9b6217201db4c 5fc182f	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1.99984714	ETH

0x3c07d6e61b724 b4dd6864d36d4f3 01d07fd01aae15c b033b2f01484f4c 36a1d0	0x3cd751e6b0078 be393132286c44 2345e5dc49699	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1	LINK
0x220f3b5ba66b0 a492bc8d2bfa697 5c699b7bf194a60 6d1f42872caada7 026886	0xddfabcdc4d8ffc 6d5beaf154f18b7 78f892a0740	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1	ETH
0x6f6c67e42e08a 68fd8bd7e0c62fa 2ffadeeffed3e797 bc296ef2f56008c d8614	0xddfabcdc4d8ffc 6d5beaf154f18b7 78f892a0740	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1	ETH
0xb68c4679b507e 5f036486cdd2792 eebcfdc25113368 23ffefe87885ddd 8fa77a	0xddfabcdc4d8ffc 6d5beaf154f18b7 78f892a0740	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1	ETH
0xfd7132ee82269 4cb0a1ea79215ea 5a839e7b5c2323e 5b4e7a2f56b66b5 59b122	0xeb2629a2734e2 72bcc07bda95986 3f316f4bd4cf	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1	ETH
0xc87e642a52a82 d68b1356e0df162 1eecf14ee5d440d 03e41cb66431a95 f2acdb	0x3cd751e6b0078 be393132286c44 2345e5dc49699	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1	ETH
0x241652827c424 aee8801de9de37 0b17311d4bfa5b6 31ddd9a52500b0 570a1b2f	0xb5d85cbf7cb3e e0d56b3bb207d5f c4b82f43f511	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1	ETH
0xa50febc836765 cd06d81c3878740 acc519de5bcdad7 593b23460e03ce 78068e2	0x3cd751e6b0078 be393132286c44 2345e5dc49699	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	1.5328513	ETH
0x130335a5e1671 7a3d2854041bf96 38734c04c6e97b2 a1880141513b69 782500d	0x3cd751e6b0078 be393132286c44 2345e5dc49699	0x18c0cd98a747e 1b121cf6995575a 555da06dcf26	0.00152781	ETH

0x67973a2f8eadb18502e954ec807637dda2bd0f54f73ae1877571402309525353	0x18c0cd98a747e1b121cf6995575a555da06dcf26	0x05892190e0ab176af5d83d923dba fd16932fe9d0	7000	USDT
0x71fa99ab09f3c548872a04be5d5087badf5c1b8aae56ab680810f3858fc8eda2	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xa3ab5f994f471e7a870b57cb750f cacf7bfc0636	0.24471764	ETH
0x1fce7a986bca21509dad7b9a503539b98d8082f2bba0a113d4d32ec89e70af9	0xddfabcd4d8ffc6d5beaf154f18b778f892a0740	0xa3ab5f994f471e7a870b57cb750f cacf7bfc0636	0.01287238	ETH
0xb3f4ededd2aa87618ecf7120ba84bd808e82baa970774e7f978fc69ec74439c5	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0xf9cd0d41f919216e07443c347e7c21b2c3f12e5a	14999.775	USDT
0xce85f38ce070abe608d33f9eed5c1bc9ffd639b647bbf35cca5ec3a0133480e7	0x1adb69bff4737af3ce1b6cd078d920b4975a89fd	0xc9a0f40ba6a75a7284ceab8c253b9adab6fae09d	1586.092007	USDT
0xd4bf1574ba826e5184c552c9f4e7971811a556ce47e2f584f5673fc0feaf d5e7	0x1adb69bff4737af3ce1b6cd078d920b4975a89fd	0xbbaa03b56e5dfc96a3752ddea5e70c6d9aa4543a	20000	USDC
0x478ed037e008242807e5fa2b21698cda9c4274eda1d83b833a87bfccb b3d064c	0x1adb69bff4737af3ce1b6cd078d920b4975a89fd	0xc9a0f40ba6a75a7284ceab8c253b9adab6fae09d	12000	USDT
0xa0100cf5aa81553e2b87ded1ec61cc4aef98730547f75cd0d5b4cb00a76dbed2	0x1adb69bff4737af3ce1b6cd078d920b4975a89fd	0x5c6c46a02a942fb5d31852b8f8d5a7c0d5b18acf	33495.35598	USDT
0x41373a195a68aa7a513c85bba0a32fa0c9ae9f3049d71c997cafb079d1c4e2ea	0x1adb69bff4737af3ce1b6cd078d920b4975a89fd	0x2db18bb96c39dc2810c0624194b83eb197d15a6b	9009.189373	USDT

0x84b865246840 7bf1c12b9e9728b b386c8f13b16bb3 9612f589a7eb103 67e2e62	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	0x2db18bb96c39 dc2810c0624194b 83eb197d15a6b	5000	USDC
0x3354f61dbaabd 6e67bc5b38f227e 149425171e0e7a 023922cbea8d5a9 2219726	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	243854.9443	USDT
0xf3c6a95ecd39d 208cda51ffb7ed6 4189c142043467 289da7041b6b51 a12b29bc	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	321989.1486	USDT
0x5e3d2dac98b85 711ca6d23dddf1e bfbde0e2f419559 3db64f03d853fff0 e637c	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	360006.6683	USDT
0x153eef1c45feaf a3004b503308b3f 3dc12d655ea1a0e ea1be0898932e1 a0b061	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	490795.1086	USDT
0x6f75d95a827e3 f3d2ab9b9df0984 dd923242ba78f4c a817ace4fe2a577 fbc831	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	75000	USDT
0x6715e8d26dbbc bd753a44d0d45c 0ce3eeee1771b22 e74f4a8e2d23510 6e6776d	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	225000	USDT
0x7a97aaebe05e5 3b3e34d854e05cc b15ab2592a7492 9391936568456e d68e27de	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	149957.2622	USDT
0x632d0d2cf5448 16bf5fd7b40d9be cc2b95085f3d97e ab8860cd174224 696e176	0xa9d1e08c7793a f67e9d92fe308d5 697fb81d3e43	0x1adb69bff4737 af3ce1b6cd078d9 20b4975a89fd	249928.7703	USDT

0x21b5ad88670ef59247e3346d6845a977ed8ecf6d5d255758a0fd222c4890b90b	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0x1adb69bff4737af3ce1b6cd078d920b4975a89fd	292369.7384	USDT
0xe48f8e1d2f433c47344edfc5e85ad57b36ca791f455f5d5836c50fc3cc693f30	0xa9d1e08c7793af67e9d92fe308d5697fb81d3e43	0x1adb69bff4737af3ce1b6cd078d920b4975a89fd	50	USDC
ef019edba20028af6b09a1235ff675aa00988c62d22f7ca97615084859d5e20a	bc1qnl5ls3uhzc26uc5f0gyp8s38hqrX0lnhcs0r4p	3Bd5AjGX7z4jny8nCC8AaPjmuHVxxPeC6j	0.04426	BTC
66b1484f4fb972114db5d906be0f951d785bf2cc41fbb23f2f2c2f70578b1db9	3FaL3H6xCKupfR7wVUmfemBQeRYM4WYS14	32BoR1yjuiUWyM7QM2b9XdxjfDGqMu2Dn4	0.044005	BTC
ac26c45d760b99efe756cd6880eb86b2cc5ec51cb730d97a27f78efacfc1dca2	bc1qgzyt860cq8y8j9hlrt8c3ck7tlm47c6v8tsmkv	35vjdWk9VJoDbyG98x2y5Uwk4ZVXvea8eG	0.06456161	BTC
e6f57ed9d78359fa4635e5e5f81272c4fb1e5f3d56a54eafb07750c687dbc354	bc1qv6gtyg6euls9xhw9upvrhnqypmjjuhz0lav2ya	36sYUQGbtJQTEZ9PAa2vo2xFjid1KuDJqw	0.02278408	BTC
cfc6ff06253756142c0862e3f058444b82a9102f39ab77961af279b41c5967ed	3JgDZHvLREgbFLLRdbHnjPi17kFSqLnNat	32QEbiRnQf9mrUusadcgVnN5vD9bUALErj	0.00169463	BTC
ad601b349b52adee962d14c907db42a8bdfbebd0f2f4995e2de66ca8ac0fd4ad	bc1q4hrxyee9lkfryc53wmauum9c53ezumm4ea3ng6	3DKFJ6s5JkMvT5NCqb1EvGoswCmKDYS2Dy	0.03543454	BTC
06e578f2d2fe4745dee81ac767462c5b06dcd02fc16b569277ac81ffae964ab6	bc1qaq0zr98rfqwh22x7ayaw9qewv4vu6klg79dvfu	328ikNTwtW3B8X7xYs6vzFspBavidk85jf	0.03545129	BTC

e424165b51b148 6ba00b22781f4e0 4ddf5bc2a91a6d7 0d83d5ba9115c0f 1d046	bc1q2a2n4s4r4sh qxu6jj75n50dl76w qv03m79dljl	3L7ve1AHuRy2SH paRivLbPDe3erhK x26HP	0.03537887	BTC
ef7b30e49207449 edcde37759ea2be 5440f95d765c744 0be72ea86aaf3ec b35d	3Gs74eZezgdcmx AXECPu7Xc1z7T2 9QC77B	31o5XXNRZk4NCd i2vAV671NgcMs8 Vaqzg7	0.02701638	BTC
ef7b30e49207449 edcde37759ea2be 5440f95d765c744 0be72ea86aaf3ec b35d	3Gs74eZezgdcmx AXECPu7Xc1z7T2 9QC77B	3MoTrhRr3KkVVc MCTGAJM9SLs76o ULyVqc	0.04226813	BTC
56fc1f5d15cbb1cc f513f6d27edc361 df8659bdbcc4d43 2ea6088279b0c37 71b	bc1qxa3nd8paw7 al6crp30gwy4mcp eplkcm094tx5n	3AjFXb2ofnFwNP 3aGv4NT4wnZfq5 NzqS7H	0.00409071	BTC
tKzi3Cvp9QakBteX 3UnvuX6aKAMrCF qsqbXAmtu5PRhY Jnxj6Xbw4T9a6L7 LdmWvy7J43h6S M5C8JYeDtP5UD2 f	BWYfaakpoJUsp5z whuEdPhA2SYVW abTwQTe8fXWXjz ze	Hwjw5juDxPEEWP V4jLwFhnrKrvboq NZzbrB8EhgfWRc q	9.4999	USDT
xG2mJiZ9Y8Zw4pJ t2RsHrohjWYeg6z JbgyJkQT1bhG7Ds gLutUKhmdiPzTpr 17ETmqRESeFqBL J7YYjNvRDvnXc	BWYfaakpoJUsp5z whuEdPhA2SYVW abTwQTe8fXWXjz ze	FfQVZWgewsgDP 2QzxJivD1WQmb Aee5KVTb3yFsE8p Fc8	1854.528415	USDT
7b6ff4a54779fa65 0c24b1ce2b649fa 0dd2b64e82b7ffe 2b1bb95b86db29 96c8	bc1qm4dsvqax4nl 6xuqq7l24fq52uus z3v5mxcswj9	38HEhX3JSZnv5ZS FpxTYhajwd1xc7T fMPa	0.00099327	BTC
0xc47e1dace9508 c2e485c14aafdbb bab37bd34702e3 8e2fdd3070ddbe0 e25d09a	0xa995910658808 c859623c0fe2ff53 e432a236739	0x0bb45759dcef1 f748cb1edff2458a c5769b557dc	99,765.72	USDC

0x98e2784986a36 2160ffc241f222b6 1b7c7675a899d6 65b1ad40d16833 e6834ac	0xa995910658808 c859623c0fe2ff53 e432a236739	0x0bb45759dcef1 f748cb1edff2458a c5769b557dc	661,447	USDC
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- a. For each account identified in response to Request 1 (the “Accounts”), Documents sufficient to identify the holder(s) of the Account, all Coinbase accounts they hold, their current residential or billing address(es), telephone number(s), email address(es), credit card numbers, checking account numbers, and any other financial institution or service used by the Account holder to transfer funds to or from Coinbase.
- b. Documents sufficient to identify IP address(es) used to connect to each Account.
- c. All account opening Documents or user information for each Account.
- d. For each Account, Documents sufficient to identify the Account’s Coinbase transaction history (including any transactions which were reversed or failed in processing), including, but not limited to, for each transaction, Documents sufficient to identify:
 - i. The participating accounts;
 - ii. Date and time;
 - iii. Transaction identifiers for withdrawals and deposits off of the Account, to include blockchain identifiers such as tx hash, external (non-Account) address, blockchain epoch and/or datetime, and USD estimate prices at the time of the transaction;
 - iv. Assets exchanged in orders;
 - v. Sum volume of assets in orders, separated by buy and sell;
 - vi. USD estimate price(s) of above orders;
 - vii. Total transaction count for orders;
 - viii. Linked financial institutions, if any, including their names and addresses, and their routing and account number(s) for the origination to, and destination from, Coinbase; and
 - ix. Instructions, or memo line or description, associated with the transaction

2. All Communications between Coinbase and the holder(s) of each Account.



U.S. Securities and Exchange Commission

Data Delivery Standards

This document describes the technical requirements for paper and electronic document productions to the U.S. Securities and Exchange Commission (SEC). ****Any questions or proposed file formats other than those described below must be discussed with the legal and technical staff of the SEC Division of Enforcement prior to submission.****

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General Instructions

The current mailing address for all physical productions sent to the SEC is:
ENF-CPU (U.S. Securities & Exchange Commission), 14420 Albemarle Point Place, Suite 102, Chantilly, VA 20151-1750

Electronic files must be produced in their native format, i.e. the format in which they are ordinarily used and maintained during the normal course of business. For example, an MS Excel file must be produced as an MS Excel file rather than an image of a spreadsheet. ***(Note: An Adobe PDF file is not considered a native file unless the document was initially created as a PDF.)***

In the event produced files require the use of proprietary software not commonly found in the workplace, the SEC will explore other format options with the producing party.

The proposed use of file de-duplication methodologies or *computer-assisted review* or *technology-assisted review* (TAR) during the processing of documents must be discussed with and approved by the legal and technical staff of the Division of Enforcement (ENF). If your production will be de-duplicated it is vital that you 1) preserve any unique metadata associated with the duplicate files, for example, custodian name and file location and, 2) make that unique metadata part of your production to the SEC.

General requirements for **ALL** document productions are:

1. A cover letter must be included with each production and should include the following information:
 - a. Case number, case name and requesting SEC staff member name
 - b. A list of each piece of media included in the production with its unique production volume number
 - c. A list of custodians, identifying the Bates range for each custodian
 - d. A list of redacted documents and the redaction reason
 - e. The time zone in which the emails were standardized during conversion
 - f. Whether the production contains native files produced from Mac operating system environments
2. Data can be produced on CD, DVD, thumb drive, etc., using the media requiring the least number of deliverables and labeled with the following:
 - a. Case number
 - b. Production date
 - c. Producing party
 - d. Bates range (if applicable)
3. All submissions must be organized by **custodian** unless otherwise instructed.
4. All document family groups, i.e. email attachments, embedded files, etc., should be produced together and children files should follow parent files sequentially in the Bates numbering.
5. All load-ready collections should include only one data load file and one image pointer file.
6. All load-ready text must be produced as separate document-level text files.
7. All load-ready collections should account for custodians in the custodian field.
8. All load-ready collections must provide the extracted contents of any container files to ensure all relevant files are produced as separate records.
9. Audio files should be separated from data files if both are included in the production.
10. Only alphanumeric characters and the underscore character are permitted in file names and folder names. Special characters are not permitted.
11. All electronic productions submitted on media must be produced using industry standard self-extracting encryption software.
12. The SEC uses 7zip to access compressed files. Note that the SEC **cannot** accept files that use AES-256 Jpeg or pkAES-256-Cert Deflate compression methods, even if the files are created with 7zip. If you have any questions or need additional information, please reach out to the requesting SEC staff member.
13. Electronic productions of 30 GB or less are strongly encouraged to be submitted via Secure File Transfer. All Secure File Transfers should be sent to the SEC Centralized Production Unit (ENF-CPU@sec.gov) with a CC to the requesting SEC staff member. If you do not have your own Secure File Transfer application, you may reach out to the requesting SEC staff member for a link to the SEC system in order to upload your production. If using the SEC Secure File Transfer system, you will NOT be able to CC individuals outside the SEC on your upload transmission. Note that the SEC **cannot** accept productions made using file sharing sites such as Google Drive or Microsoft Office 365.
14. Productions containing BSA or SAR material must be encrypted. Secure File Transfer applications may be used to produce BSA or SAR material. BSA or SAR material should be segregated and appropriately marked as BSA or SAR, or should be produced separately from other case related records.
15. Passwords for electronic documents, files, compressed archives and encrypted media must be provided separately either via email or in a cover letter apart from the media.
16. All electronic productions should be produced free of computer viruses.
17. Before producing forensically collected images, parties should reach out to the requesting SEC staff member in order to discuss appropriate handling.
18. Before producing unique data sets (large sets of relational data, website reconstruction, chat room data, etc.), parties should reach out to the requesting SEC staff member in order to discuss an appropriate production format.
19. Additional technical descriptions can be found in the addendum to this document.

Please note that productions sent to the SEC via United States Postal Service are subject to Mail Irradiation, and as a result electronic productions may be damaged.

Delivery Formats

I. Imaged Productions

The SEC prefers that all scanned paper and electronic file collections be produced in a structured format including industry standard load files, Bates numbered image files, native files and searchable document-level text files. Bates numbers should not contain spaces. Hyphens (-) and underscores (_) are acceptable.

1. Images

- a. Black and white images must be 300 DPI Group IV single-page TIFF files
- b. Color images must be produced in JPEG format
- c. File names cannot contain embedded spaces or special characters (including the comma)
- d. Folder names cannot contain embedded spaces or special characters (including the comma)
- e. All image files must have a unique file name, i.e. Bates number
- f. Images must be endorsed with sequential Bates numbers in the lower right corner of each image
- g. The number of image files per folder should not exceed 2,000 files
- h. Excel spreadsheets should have a placeholder image named by the Bates number of the file
- i. AUTOCAD/photograph files should be produced as a single page JPEG file

2. Image Cross-Reference File

The image cross-reference file (.LOG or .OPT) links the images to the database records. It should be a comma-delimited file consisting of seven fields per line with a line in the cross-reference file for every image in the database with the following format:

ImageID,VolumeLabel,ImageFilePath,DocumentBreak,FolderBreak,BoxBreak,PageCount

3. Data File

The data file (.DAT) contains all of the fielded information that will be loaded into the database.

- a. The first line of the .DAT file must be a header row identifying the field names
- b. The .DAT file must use the following *Concordance*® default delimiters:
 - Comma ¶ ASCII character (020)
 - Quote ¨ ASCII character (254)
- c. If the .DAT file is produced in Unicode format it must contain the byte order marker
- d. Date fields should be provided in the format: mm/dd/yyyy
- e. Date and time fields must be two separate fields
- f. The time zone must be included in all time fields
- g. If the production includes imaged emails and attachments, the attachment fields must be included to preserve the parent/child relationship between an email and its attachments
- h. An OCRPATH field must be included to provide the file path and name of the extracted text file on the produced storage media. The text file must be named after the FIRSTBATES. Do not include the text in the .DAT file.
- i. For productions with native files, a LINK field must be included to provide the file path and name of the native file on the produced storage media. The native file must be named after the FIRSTBATES.
- j. BEGATTACH and ENDATTACH fields must be two separate fields
- k. A complete list of metadata fields is available in **Addendum A** to this document

4. Text

Text must be produced as separate document-level text files, not as fields within the .DAT file. The text files must be named per the FIRSTBATES/Image Key and the full path to the text file (OCRPATH) should be included in the .DAT file. Text files may be in either ANSI or Unicode format, however, ALL text files must be in the same format within the same production. Note that productions containing text with foreign characters must produce text files in Unicode format to preserve the foreign characters. Text files must be in a separate folder, and the number of text files per folder should not exceed 2,000 files. There should be no special characters (including commas) in the folder names. For redacted documents, provide the full text for the redacted version.

5. Linked Native Files

Copies of original email and native file documents/attachments must be included for all electronic productions.

- a. Native file documents must be named per the FIRSTBATES number
- b. The full path of the native file must be provided in the .DAT file for the LINK field
- c. The number of native files per folder should not exceed 2,000 files

II. Native File Production without Load Files

With prior approval, native files may be produced without load files. Native file productions should not be Bates numbered. Native files must be produced as they are maintained in the normal course of business and organized by custodian-named file folders. Native email files (.PST or .MBOX) must be separated by custodian.

III. Adobe PDF File Production

With prior approval, Adobe PDF files may be produced in native file format.

1. All PDFs must be unitized at the document level, i.e. each PDF must represent a discrete document.
2. PDF files should be produced in separate folders named by the custodian. The folders should not contain any special characters (including commas).
3. All PDF files must contain embedded text that includes all discernible words within the document, not selected text or image only. This requires all layers of the PDF to be flattened first.
4. If PDF files are Bates endorsed, the PDF files must be named by the Bates range.

IV. Audio Files

Audio files from telephone recording systems must be produced in a format that is playable using Microsoft Windows Media Player™. Additionally, the call information (metadata) related to each audio recording MUST be provided. The metadata file must be produced in a delimited text format. Field names must be included in the first row of the text file. The metadata must include, at a minimum, the following fields:

- | | |
|------------------------|--|
| 1) Caller Name: | Caller's name or account/identification number |
| 2) Originating Number: | Caller's phone number |
| 3) Called Party Name: | Called party's name |
| 4) Terminating Number: | Called party's phone number |
| 5) Date: | Date of call |
| 6) Time: | Time of call |
| 7) Filename: | Filename of audio file |

V. Video Files

Video files must be produced in a format that is playable using Microsoft Windows Media Player™.

VI. Electronic Phone Records

When producing an MS Excel spreadsheet for electronic phone records, provide the files in the following format:

1. MS Excel spreadsheet with header information detailing the field structure. If any special codes exist in the dataset, a separate document must be provided that details all such codes. If details of the field structure do not fit in the header, a separate document must be provided that includes such details. Data must be formatted in its native format (i.e. dates in a date format, numbers in an appropriate numerical format, and numbers with leading zeroes as text).
 - a. The metadata that must be included is outlined in **Addendum B** of this document. Each field of data must be loaded into a separate column. For example, Date and Start_Time must be produced in separate columns and not combined into a single column containing both pieces of information. Any fields of data that are provided in addition to those listed in **Addendum B** must also be loaded into separate columns.

VII. Electronic Trade and Bank Records

When producing electronic trade records, bank records, or financial statements, provide the files in one of the following formats:

1. MS Excel spreadsheet with header information detailing the field structure. If any special codes exist in the dataset, a separate document must be provided that details all such codes. If details of the field structure do not fit in the header, a separate document must be provided that includes such details. The fields and information that must be included are outlined in **Addendum C** of this document.
2. Delimited text file with header information detailing the field structure. The preferred delimiter is a vertical bar "|". If any special codes exist in the dataset, a separate document must be provided that details all such codes. If details of the field structure do not fit in the header, a separate document must be provided that includes such details. The fields and information that must be included are outlined in **Addendum C** of this document.

VIII. Audit Workpapers

The SEC prefers for workpapers to be produced in two formats: (1) With Bates numbers in accordance with the SEC Data Delivery Standards; and (2) in native format via laptop computer, web-based viewing platform, or otherwise, allowing for viewing in the same format and organization as the firm's audit team. Prior to production of workpapers via laptop computer or web-based viewing platform, audit firms should contact the requesting SEC staff member for additional information and written agreements addressing use of software, access, and viewing protocols.

IX. Mobile Device Data

Before producing any mobile device data (including but not limited to text messages and application data) parties should reach out to the requesting SEC staff member in order to discuss the appropriate production format. Productions containing chat data from applications must be identified at the time of production and the following information must be provided to the requesting SEC staff member and the SEC Centralized Production Unit: chat application, chat data format, and how the length of a chat is determined (i.e. set duration of time, number of minutes without messages, etc.)

ADDENDUM A

The metadata of electronic document collections should be extracted and provided in a .DAT file using the field definition and formatting described below:

Field Name	Sample Data	Description
FIRSTBATES	EDC0000001	First Bates number of native file document/email
LASTBATES	EDC0000001	Last Bates number of native file document/email **The LASTBATES field should be populated for single page documents/emails.
ATTACHRANGE	EDC0000001 - EDC0000015	Bates number of the first page of the parent document to the Bates number of the last page of the last attachment "child" document
BEGATTACH	EDC0000001	First Bates number of attachment range
ENDATTACH	EDC0000015	Last Bates number of attachment range
PARENT_BATES	EDC0000001	First Bates number of parent document/Email **This PARENT_BATES field should be populated in each record representing an attachment "child" document
CHILD_BATES	EDC0000002; EDC0000014	First Bates number of "child" attachment(s); can be more than one Bates number listed depending on the number of attachments **The CHILD_BATES field should be populated in each record representing a "parent" document
CUSTODIAN	Smith, John	Email: Mailbox where the email resided Native: Name of the individual or department from whose files the document originated
FROM	John Smith	Email: Sender Native: Author(s) of document **semi-colon should be used to separate multiple entries
TO	Coffman, Janice; LeeW [mailto:LeeW@MSN.com]	Recipient(s) **semi-colon should be used to separate multiple entries
CC	Frank Thompson [mailto:frank_Thompson@cdt.com]	Carbon copy recipient(s) **semi-colon should be used to separate multiple entries
BCC	John Cain	Blind carbon copy recipient(s) **semi-colon should be used to separate multiple entries
SUBJECT	Board Meeting Minutes	Email: Subject line of the email Native: Title of document (if available)
FILE_NAME	BoardMeetingMinutes.docx	Native: Name of the original native file, including extension
DATE_SENT	10/12/2010	Email: Date the email was sent Native: (empty)
TIME_SENT/TIME_ZONE	07:05 PM GMT	Email: Time the email was sent/ Time zone in which the emails were standardized during conversion. Native: (empty) **This data must be a separate field and cannot be combined with the DATE_SENT field
TIME_ZONE	GMT	The time zone in which the emails were standardized during conversion. Email: Time zone Native: (empty)

LINK	D:\001\EDC0000001.msg	Hyperlink to the email or native file document **The linked file must be named per the FIRSTBATES number
MIME_TYPE	application/msword	The content type of an email or native file document as identified/extracted from the header
FILE_EXTEN	MSG	The file type extension representing the email or native file document; will vary depending on the format
AUTHOR	John Smith	Email: (empty) Native: Author of the document
LAST_AUTHOR	Jane Doe	Email: (empty) Native: Last Author of the document
DATE_CREATED	10/10/2010	Email: (empty) Native: Date the document was created
TIME_CREATED/TIME_ZONE	10:25 AM GMT	Email: (empty) Native: Time the document was created including time zone **This data must be a separate field and cannot be combined with the DATE_CREATED field
DATE_MOD	10/12/2010	Email: (empty) Native: Date the document was last modified
TIME_MOD/TIME_ZONE	07:00 PM GMT	Email: (empty) Native: Time the document was last modified including the time zone **This data must be a separate field and cannot be combined with the DATE_MOD field
DATE_ACCESSD	10/12/2010	Email: (empty) Native: Date the document was last accessed
TIME_ACCESSD/TIME_ZONE	07:00 PM GMT	Email: (empty) Native: Time the document was last accessed including the time zone **This data must be a separate field and cannot be combined with the DATE_ACCESSD field
PRINTED_DATE	10/12/2010	Email: (empty) Native: Date the document was last printed
FILE_SIZE	5,952	Size of native file document/email in KB
PGCOUNT	1	Number of pages in native file document/email
PATH	J:\Shared\SmithJ\October Agenda.doc	Email: (empty) Native: Path where native file document was stored including original file name.
INTFILEPATH	Personal Folders\Deleted Items\Board Meeting Minutes.msg	Email: original location of email including original file name. Native: (empty)
INTMSGID	<000805c2c71b\$75977050\$cb8306d1@MSN>	Email: Unique Message ID Native: (empty)

HEADER	Return-Path: <example_from@dc.edu> X-SpamCatcher-Score:1[X] Received:from[136.167.40.119] (HELO dc.edu) by fe3.dc.edu (CommuniGate Pro SMTP4.1.8) with ESMTP-TLS id 61258719 for example_to@mail.dc.edu; Mon, 23 Aug 2004 11:40:10 - 0400 Message-ID: <4129F3CA.2020509@dc.edu> Date: Mon, 23 Aug 2005 11:40:36 -400 From: Taylor Evans <example_from@dc.edu> User-Agent:Mozilla/5.0 (Windows;U; Windows NT 5.1; en-US;rv:1.0.1) Gecko/20020823 Netscape/7.0 X-Accept-Language:en-us,en MIME-Version:1.0 To: Jon Smith <example_to@mail.dc.edu> Subject:Business Development Meeting Content-Type: text/plain;charset=us-ascii; format=flowed Content-Transfer-Encoding:7bit	Email: The email header information Native: (empty)
SHA256	AD6128C5CA40164AF71A1E FC800E12D2F195CE07BB5F8 C813B0888A2DDE6A06F	SHA-256 hash value of the document.
OCRPATH	TEXT/001/EDC0000001.txt	Path to extracted text of the native file

Sample Image Cross-Reference File:

```

IMG0000001,,E:\001\IMG0000001.TIF,Y,,,
IMG0000002,,E:\001\IMG0000002.TIF,,,,
IMG0000003,,E:\001\IMG0000003.TIF,,,,
IMG0000004,,E:\001\IMG0000004.TIF,Y,,,
IMG0000005,,E:\001\IMG0000005.TIF,Y,,,
IMG0000006,,E:\001\IMG0000006.TIF,,,,

```

ADDENDUM B

For Electronic Phone Records, include the following fields in separate columns:

For Calls:

- 1) Account Number
- 2) Connection Date – Date the call was received or made
- 3) Connection Time – Time call was received or made
- 4) Seizure Time – Time it took for the call to be placed in seconds
- 5) Originating Number – Phone that placed the call
- 6) Terminating Number – Phone that received the call
- 7) Elapsed Time – The length of time the call lasted, preferably in seconds
- 8) End Time – The time the call ended
- 9) Number Dialed – Actual number dialed
- 10) IMEI Originating – Unique id to phone used to make call
- 11) IMEI Terminating– Unique id to phone used to receive call
- 12) IMSI Originating – Unique id to phone used to make call
- 13) IMSI Terminating- Unique id to phone used to receive call
- 14) Call Codes – Identify call direction or other routing information
- 15) Time Zone – Time Zone in which the call was received or placed, if applicable

For Text Messages:

- 1) Account Number
- 2) Connection Date – Date the text was received or made
- 3) Connection Time – Time text was received or made
- 4) Originating Number – Who placed the text
- 5) Terminating Number – Who received the text
- 6) IMEI Originating – Unique id to phone used to make text
- 7) IMEI Terminating– Unique id to phone used to receive text
- 8) IMSI Originating - Unique id to phone used to make text
- 9) IMSI Terminating- Unique id to phone used to receive text
- 10) Text Code – Identify text direction, or other text routing information
- 11) Text Type Code – Type of text message (sent SMS, MMS, or other)
- 12) Time Zone – Time Zone in which the call was received or placed, if applicable

For Mobile Data Usage:

- 1) Account Number
- 2) Connection Date – Date the data was received or made
- 3) Connection Time – Time data was received or made
- 4) Originating number – Number that used data
- 5) IMEI Originating – Unique id of phone that used data
- 6) IMSI Originating - Unique id of phone that used data
- 7) Data or Data codes – Identify data direction, or other data routing information
- 8) Time Zone – Time Zone in which the call was received or placed, if applicable

ADDENDUM C

For electronic account transaction data in MS Excel spreadsheet file format, or in a delimited text file format with a preferred vertical bar delimiter, include the following (or similar) fields of information in **columnar form** and in **separate tabs** of the spreadsheet/delimited text file:

A. Account Information Tab

Listing of accounts produced showing the following:

1. Account Title
2. Account Address
3. Account Number
4. Account Type
5. Taxpayer Identification #
6. Account Open Date
7. Account Closed Date
8. Account Owners/Signatories
9. Relationship (e.g., CEO, CFO, officer, treasurer, founding member, account owner, etc.)

B. Account Transaction Information Tab

Download of transaction data for all accounts produced showing the following:

1. Account Number
2. Transaction ID #
3. Transaction Type (e.g., deposit, withdrawal, check, incoming wire, outgoing wire, ACH transaction, account transfer, account fee, cashiers check, etc.)
4. Transaction Post Date
5. Transaction Date
6. Transaction Amount
7. Transaction Amount Code (e.g., debit or credit)
8. Check#
9. Transaction Description (e.g., payor/payee of transaction, name of merchant/business or individual receiving or depositing funds, etc.)
10. Account Balance

C. Detail Information Tab for Wire Transfers, ACH Transactions, and Other Bank/Account Transfers

Download of additional information concerning any of the transactions in Section B, related to any wire transfer, ACH transaction, and other bank/account transfer transaction information showing the following or similar information:

1. Account Number
2. Transaction Reference #
3. Transaction Date (date that transaction posts to an account)
4. Transaction Type (e.g., incoming/outgoing wire, incoming/outgoing ACH transaction, incoming/outgoing other bank/account transfer, etc.)
5. Transaction Amount
6. Transaction Amount Code (e.g., debit or credit)
7. Originator Name
8. Originator Address
9. Originator ID / Bank Acct# (bank account# of the debit/payor side of the wire)
10. Beneficiary Name
11. Beneficiary Address
12. Beneficiary ID / Bank Acct# (bank account# of the credit/payee side of the wire)
13. Debit ID (bank name and address for debit/payor side of transaction)
14. Credit ID (bank name and address for credit/payee side of transaction)

15. Originator to Beneficiary Information
16. Bank to Bank Instruction
17. Other available wire transaction information captured by bank that is not referenced above

D. Legend Tab

Listing of any codes used or contained in the information provided above.